

**FILE COPY**

**SCULLY, SCOTT, MURPHY & PRESSER**  
400 GARDEN CITY PLAZA  
GARDEN CITY, NEW YORK 11530

Telephone: (516) 742-4343  
Facsimile: (516) 742-4366  
E-Mail: [intprop@ssmp.com](mailto:intprop@ssmp.com)

**RECEIVED**  
APR 12 2002  
Technology Center 2600

**FACSIMILE TRANSMISSION**

To: U. S. Patent and Trademark Office Attention: Corrected Filing Receipt  
Date: February 28, 2002  
Fax #: 703-308-7751 Pages 3  
From: SCULLY, SCOTT, MURPHY & PRESSER

Re: Koji Tokunaga  
U.S. Patent Appln. No. 09/994,960  
PORTABLE PHONE HAVING RECORDING FUNCTION FOR  
RECORDING CALL-AUDIO WITH USING THE MINIMUM  
CAPACITY OF MEMORY  
Our Docket: 15124

**COMMENTS:**

The Filing Receipt for the above-identified Patent Application has the state incorrect on our address and should read:

**SCULLY, SCOTT, MURPHY & PRESSER**  
**400 Garden City Plaza**  
**Garden City, NY 11530**

Please send to us a corrected Filing Receipt with the information as it is shown on the pages to follow.

Thank you.

If there are any problems concerning this facsimile, please call (516) 742-4343 and ask for Nalini at ext. 595.

**CONFIDENTIALITY:** The documents accompanying this facsimile transmission may contain information which is either confidential or legally privileged and is intended only for the authorized use of the individual or entity named above without right of publication or republication, dissemination or disclosure except as expressly set forth or established by course of dealing. All rights are reserved. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or use of the contents of this facsimile is prohibited. If you received this transmission in error, please notify us immediately by telephone to arrange for return of the documents.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/994,960	11/27/2001	2642	740	15124	4	3	1

CONFIRMATION NO. 1702

## FILING RECEIPT



\*OC000000007214467\*

SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, NJ 11530

Should Read:

N.Y.

Date Mailed: 12/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Koji Tokunaga, Residence Not Provided;

## Assignment For Published Patent Application

NEC Corporation, Tokyo, JAPAN;

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 360288/2000 11/27/2000

If Required, Foreign Filing License Granted 12/18/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Portable phone having recording function for recording call-audio with using the minimum capacity of memory

## Preliminary Class

RECEIVED

APR 12 2002

Technology Center 2600

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**Docket No.  
**15124**In Re Application Of: **Koji TOKUNAGA**Serial No.  
**09/994,960**Filing Date  
**Nov. 27, 2001**Examiner  
**Unassigned**Group Art Unit  
**2642**Invention: **PORTABLE PHONE HAVING RECORDING FUNCTION FOR RECORDING  
CALL-AUDIO WITH USING THE MINIMUM CAPACITY OF MEMORY****TO THE ASSISTANT COMMISSIONER FOR PATENTS:**Box Missing Parts**RECEIVED****APR 12 2002****Technology Center 2600**The fee of **\$130.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **19-1013/SSMP**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.



Signature

Paul J. Esatto, Jr., Reg. No. 30,749

**SCULLY, SCOTT, MURPHY & PRESSER**

400 Garden City Plaza

Garden City, NY 11530

(516) 742-4343

PJE:ahs

*Should Read: NY*Dated: **February 19, 2002**

I certify that this document and fee is being deposited  
on **Feb. 19, 2002** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

Signature of Person Mailing Correspondence

**Michelle Mustafa**

Typed or Printed Name of Person Mailing Correspondence

CC:



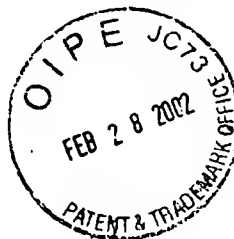
## UNITED STATES PATENT AND TRADEMARK OFFICE

#2

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

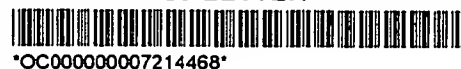
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/994,960	11/27/2001	Koji Tokunaga	15124

SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, NJ 11530



CONFIRMATION NO. 1702

## FORMALITIES LETTER



\*OC000000007214468\*

Date Mailed: 12/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

COPY OF PAPERS  
ORIGINALLY FILED

03/06/2002 SMINASS1 00000023 09994960

01 FD:105

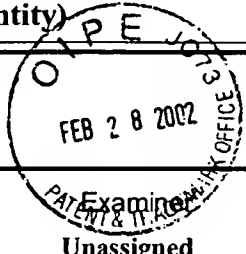
130.00 0P

#3

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
15124

In Re Application Of: **Koji TOKUNAGA**



Serial No.  
09/994,960

Filing Date  
Nov. 27, 2001

Examiner  
Unassigned

Group Art Unit  
2642

Invention: **PORTABLE PHONE HAVING RECORDING FUNCTION FOR RECORDING  
CALL-AUDIO WITH USING THE MINIMUM CAPACITY OF MEMORY**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

**COPY OF PAPERS  
ORIGINALLY FILED**

The fee of **\$130.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **19-1013/SSMP**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

*Signature*

Dated: **February 19, 2002**

**Paul J. Esatto, Jr., Reg. No. 30,749  
SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, NY 11530  
(516) 742-4343**

PJE:ahs

CC:

I certify that this document and fee is being deposited  
on **Feb. 19, 2002** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

*Signature of Person Mailing Correspondence*

**Michelle Mustafa**

*Typed or Printed Name of Person Mailing Correspondence*

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
15124

In Re Application Of: **Koji TOKUNAGA**

Serial No.  
09/994,960

Filing Date  
Nov. 27, 2001

Examiner  
Unassigned

Group Art Unit  
2642

Invention: **PORTABLE PHONE HAVING RECORDING FUNCTION FOR RECORDING  
CALL-AUDIO WITH USING THE MINIMUM CAPACITY OF MEMORY**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
December 19, 2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Recordation Form Cover Sheet in dupl.; Original executed Assignment; \$40.00 check assign. recordal fee  
Transmittal of IDS in dupl.; IDS; 3 references  
Claim of Priority; Certified Priority Doc. #2000-360288 (Japan)

COPY OF PAPERS  
ORIGINALLY FILED

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
15124

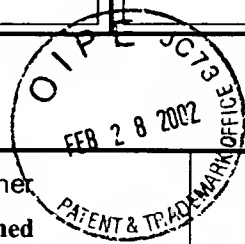
In Re Application Of: **Koji TOKUNAGA**

Serial No.  
09/994,960

Filing Date  
Nov. 27, 2001

Examiner  
Unassigned

Group Art Unit  
2642



Invention: **PORTABLE PHONE HAVING RECORDING FUNCTION FOR RECORDING  
CALL-AUDIO WITH USING THE MINIMUM CAPACITY OF MEMORY**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees **\$0.00**

Total fees due **\$130.00**



## UNITED STATES PATENT AND TRADEMARK OFFICE

 #8  
 FILE COPY  
 C.F.R.

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 1702

<b>SERIAL NUMBER</b> 09/994,960	<b>FILING DATE</b> 11/27/2001 <b>RULE</b>	<b>CLASS</b> 379	<b>GROUP ART UNIT</b> 2642	<b>ATTORNEY DOCKET NO.</b> 15124	
<b>APPLICANTS</b> Koji Tokunaga, Kanagawa, JAPAN; <b>** CONTINUING DATA *****</b> <b>** FOREIGN APPLICATIONS *****</b> JAPAN 360288/2000 11/27/2000 <b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 12/18/2001</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____		<b>STATE OR COUNTRY</b> JAPAN	<b>SHEETS DRAWING</b> 4	<b>TOTAL CLAIMS</b> 3	<b>INDEPENDENT CLAIMS</b> 1
<b>ADDRESS</b> SCULLY, SCOTT, MURPHY & PRESSER 400 Garden City Plaza Garden City, NY 11530					
<b>TITLE</b> Portable phone having recording function for recording call-audio with using the minimum capacity of memory					
<b>FILING FEE RECEIVED</b> 870	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		

 EA  
 1/2